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|---|------------------------------|----------------------|----------------------------|
| AMENDMENT TRANSMITTAL LETTER | | | Docket No. 58985(49357) |
| Application No. 10/086,769-Conf. #8954 | Filing Date March 1, 2002 | Examiner J. Patel | Art Unit 3624 |

Applicant(s): Joseph M. Kochansky

Invention: INVESTMENT PROTFOLIO COMPLIANCE SYSTEM

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|---|---|-----------------------------------|----------|------|
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 36 | - 36 = | 0 | x 50.00 | 0.00 |
| Independent Claims | 5 | - 5 = | 0 | x 200.00 | 0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): | | | | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 0.00 |

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1105
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.


Scott D. Worsy
Attorney/Agent Reg. No.: 35,413

Dated: April 12, 2007

EDWARDS ANGELL PALMER & DODGE LLP
P.O. Box 55874
Boston, Massachusetts 02205
(203) 353-6831

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 12, 2007

Signature: Edith Sillman (Edith Sillman)

(49357)58965



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|-------------------------|
| In re Application of: Joseph M. KOCHANSKY |) | |
| |) | Group Art Unit: 3693 |
| Serial No.: 10/086,769 |) | |
| |) | Examiner: Jagdish Patel |
| Filing Date: March 1, 2002 |) | |
| |) | Confirmation No. : 8954 |
| Title: INVESTMENT PORTFOLIO |) | |
| COMPLIANCE SYSTEM |) | |

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIRMENT

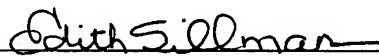
Sir:

In response to the Restriction Requirement mailed March 29, 2007, please enter the following remarks.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: April 12, 2007


Edith Sillman